

Let $n \geq 1$ be a fixed integer, and let

$$S_j = \left\{ \left(\xi, \frac{1}{2} |\xi|^2 \right) \in \mathbb{R}^n \times \mathbb{R} : |\xi - (-1)^j e_1| < 1/2 \right\}$$

where $j = 1, 2$ and $e_1 = (1, 0, \dots, 0)$. Denote by σ_j the surface measure on S_j . Our goal is to prove the following.

Theorem 1 (L^2 -bilinear restriction theorem).

$$(1) \quad \|\widehat{f_1 \sigma_1} \widehat{f_2 \sigma_2}\|_{L^q(\mathbb{R}^{n+1})} \leq C_{n,q} \|f_1\|_{L^2(\sigma_1)} \|f_2\|_{L^2(\sigma_2)}$$

for any $q > \frac{n+3}{n+1}$.

This range of q is sharp up to endpoint.

Lemma 2 (epsilon-removal). *To prove Theorem 1, it suffices to prove*

$$(2) \quad \|\widehat{f_1 \sigma_1} \widehat{f_2 \sigma_2}\|_{L^{\frac{n+3}{n+1}}(B(0,R))} \leq C_{n,\alpha} R^\alpha \|f_1\|_{L^2(\sigma_1)} \|f_2\|_{L^2(\sigma_2)}, \quad R \geq 1$$

for all $\alpha > 0$.

Denote (2) by

$$R^*(2 \times 2 \rightarrow \frac{n+3}{n+1}, \alpha).$$

Lemma 2 will follow from iterating the following.

Lemma 3 (induction on scale).

$$(3) \quad R^*(2 \times 2 \rightarrow \frac{n+3}{n+1}, \alpha) \Rightarrow R^*(2 \times 2 \rightarrow \frac{n+3}{n+1}, \max((1-\delta)\alpha, C\delta))$$

for any $\delta > 0$, where C is independent of δ .

The main tool for proving Lemma 3 is the following.

Lemma 4 (wave packet decomposition).

$$\widehat{f_j \sigma_j} = \sum_{T_j} c_{T_j} \phi_{T_j}$$

where (i) T_j ranges over $\sqrt{R} \times \dots \times \sqrt{R} \times \infty$ tubes with initial positions

$$x(T_j) \in R^{1/2} \mathbb{Z}^n \times \{0\}$$

and pointing in directions

$$\xi(T_j) \in R^{-1/2} \mathbb{Z}^n \times \{-1\}$$

that are normal to S_j , i.e.

$$\left(\xi(T_j), \frac{1}{2} |\xi(T_j)|^2 \right) \in S_j.$$

(ii) The wave packet ϕ_{T_j} is essentially supported on T_j , and $\hat{\phi}_{T_j}$ is supported in an $O(R^{-1/2})$ -neighborhood of $(\xi(T_j), \frac{1}{2} |\xi(T_j)|^2)$ in S_j .

(iii) The coefficients c_{T_j} satisfy Bessel's inequality

$$\left(\sum_{T_j} |c_{T_j}|^2 \right)^{1/2} \approx \|f_j\|_2.$$

Since $\phi_{T_j}(x, t)$ solves the free Schrödinger equation, by the Plancherel theorem we have the following.

Lemma 5 (conservation of energy).

$$(4) \quad \left\| \sum_{T_j \in \mathbf{T}_j} \phi_{T_j}(\cdot, t) \right\|_{L^2(\mathbb{R}^n)} = \left\| \sum_{T_j \in \mathbf{T}_j} \phi_{T_j}(\cdot, 0) \right\|_{L^2(\mathbb{R}^n)} \approx (\#\mathbf{T}_j)^{1/2}$$

for all $t \in \mathbb{R}$.

Now assuming $R^*(2 \times 2 \rightarrow \frac{n+3}{n+1}, \alpha)$ and $\|f_j\|_2 = 1$, we need to show

$$\left\| \sum_{T_1} \sum_{T_2} c_{T_1} c_{T_2} \phi_{T_1} \phi_{T_2} \right\|_{L^{\frac{n+3}{n+1}}(B(0, R))} \lesssim R^{(1-\delta)\alpha} + R^{C\delta}.$$

By a pigeonholing argument, we may assume that $c_{T_1} = c_1$, $c_{T_2} = c_2$.

Lemma 6 (pigeonhole principle). *It suffices to show*

$$(5) \quad \left\| \sum_{T_1 \in \mathbf{T}_1} \sum_{T_2 \in \mathbf{T}_2} \phi_{T_1} \phi_{T_2} \right\|_{L^{\frac{n+3}{n+1}}(B_R)} \lesssim (R^{(1-\delta)\alpha} + R^{C\delta})(\#\mathbf{T}_1)^{1/2}(\#\mathbf{T}_2)^{1/2}$$

for any collections \mathbf{T}_j of T_j , $j = 1, 2$.

To utilize the induction hypothesis, we split B_R into $O(R^{C\delta})$ many balls B of radius $R^{1-\delta}$. Denote by \mathcal{B} the collection of such balls. We can thus estimate the left-hand side of (5) by

$$\sum_{B \in \mathcal{B}} \left\| \sum_{T_1 \in \mathbf{T}_1} \sum_{T_2 \in \mathbf{T}_2} \phi_{T_1} \phi_{T_2} \right\|_{L^{\frac{n+3}{n+1}}(B)}.$$

For each $T_1 \in \mathbf{T}_1$, we associate $\lesssim 1$ many balls $B \in \mathcal{B}$ which ‘‘captures most intersection’’ between T_1 and \mathbf{T}_2 ; write $T_1 \sim B$ (and similarly for $T_2 \in \mathbf{T}_2$). We will prove (5) by showing the following estimates.

Lemma 7 (local part).

$$(6) \quad \sum_{B \in \mathcal{B}} \left\| \sum_{\substack{T_1 \in \mathbf{T}_1 \\ T_1 \sim B}} \sum_{\substack{T_2 \in \mathbf{T}_2 \\ T_2 \sim B}} \phi_{T_1} \phi_{T_2} \right\|_{L^{\frac{n+3}{n+1}}(B)} \lesssim R^{(1-\delta)\alpha} (\#\mathbf{T}_1)^{1/2} (\#\mathbf{T}_2)^{1/2}.$$

Lemma 8 (global part).

$$(7) \quad \left\| \sum_{\substack{T_1 \in \mathbf{T}_1 \\ T_1 \approx B}} \sum_{T_2 \in \mathbf{T}_2} \phi_{T_1} \phi_{T_2} \right\|_{L^{\frac{n+3}{n+1}}(B)} \lesssim R^{C\delta} (\#\mathbf{T}_1)^{1/2} (\#\mathbf{T}_2)^{1/2}.$$

Proof of Lemma 7. Applying the induction hypothesis to each ball B , we can estimate the left-hand side of (6) by

$$\sum_{B \in \mathcal{B}} R^{(1-\delta)\alpha} (\#\{T_1 \in \mathbf{T}_1 : T_1 \sim B\})^{1/2} (\#\{T_2 \in \mathbf{T}_2 : T_2 \sim B\})^{1/2}.$$

By the Cauchy-Schwarz inequality, this can be bounded by

$$R^{(1-\delta)\alpha} \left(\sum_{B \in \mathcal{B}} \sum_{T_1 \in \mathbf{T}_1} 1_{T_1 \sim B} \right)^{1/2} \left(\sum_{B \in \mathcal{B}} \sum_{T_2 \in \mathbf{T}_2} 1_{T_2 \sim B} \right)^{1/2}.$$

which by Fubini's theorem becomes

$$\begin{aligned} & R^{(1-\delta)\alpha} \left(\sum_{T_1 \in \mathbf{T}_1} \sum_{B \in \mathcal{B}} 1_{T_1 \sim B} \right)^{1/2} \left(\sum_{T_2 \in \mathbf{T}_2} \sum_{B \in \mathcal{B}} 1_{T_2 \sim B} \right)^{1/2} \\ & \lesssim R^{(1-\delta)\alpha} (\#\mathbf{T}_1)^{1/2} (\#\mathbf{T}_2)^{1/2}, \end{aligned}$$

as desired. \square

Lemma 8 follows from interpolating between the following estimates.

Lemma 9 (L^1 -estimate).

$$\left\| \sum_{\substack{T_1 \in \mathbf{T}_1 \\ T_1 \approx B}} \sum_{T_2 \in \mathbf{T}_2} \phi_{T_1} \phi_{T_2} \right\|_{L^1(B)} \lesssim R (\#\mathbf{T}_1)^{1/2} (\#\mathbf{T}_2)^{1/2}.$$

Proof. By Hölder's inequality it suffices to show

$$\left\| \sum_{T_j \in \mathbf{T}_j} \phi_{T_j} \right\|_{L^2(B)} \lesssim R^{1/2} (\#\mathbf{T}_j)^{1/2}$$

where $j = 1, 2$. But this follows directly from (4) and integrating in t . \square

Lemma 10 (L^2 -estimate).

$$(8) \quad \left\| \sum_{\substack{T_1 \in \mathbf{T}_1 \\ T_1 \approx B}} \sum_{T_2 \in \mathbf{T}_2} \phi_{T_1} \phi_{T_2} \right\|_{L^2(B)} \lesssim R^{C\delta} R^{-(n-1)/4} (\#\mathbf{T}_1)^{1/2} (\#\mathbf{T}_2)^{1/2}.$$

To prove Lemma 10, we start with the following observation.

Lemma 11 (transversal waves).

$$(9) \quad \|\phi_{T_1} \phi_{T_2}\|_{L^2(\mathbb{R}^{n+1})} \lesssim R^{-(n-1)/4}.$$

Proof. Use Plancherel's theorem as in Wolff's paper. \square

We now split B_R into balls of radius \sqrt{R} . Denote by \mathbf{q} the collection of such balls, and denote

$$\mathbf{q}(B) := \{q \in \mathbf{q} : q \subset B\}.$$

For $q \in \mathbf{q}$, denote

$$\begin{aligned} \mathbf{T}_j(q) &:= \{T_j \in \mathbf{T}_j : T_j \cap R^\delta q \neq \emptyset\}, \quad j = 1, 2 \\ \mathbf{T}_1^{\approx B}(q) &:= \{T_j \in \mathbf{T}_1(q) : T_1 \approx B\}. \end{aligned}$$

By a pigeonholing argument, we may assume that

$$\begin{aligned}\#\mathbf{T}_j(q) &\approx \mu_j, \quad j = 1, 2 \\ \#\{q \in \mathbf{q} : R^\delta q \cap T_1\} &\approx \lambda_1.\end{aligned}$$

Lemma 10 will follow from the following.

Lemma 12 (fine-scale decomposition).

$$(10) \quad \sum_{q \in \mathbf{q}(B)} \left\| \sum_{T_1 \in \mathbf{T}_1^{\infty B}(q)} \sum_{T_2 \in \mathbf{T}_2(q)} \phi_{T_1} \phi_{T_2} \right\|_{L^2(q)}^2 \lesssim R^{C\delta} R^{-(n-1)/2} (\#\mathbf{T}_1)(\#\mathbf{T}_2).$$

To estimate the left-hand side, we expand

$$\left\| \sum_{T_1 \in \mathbf{T}_1^{\infty B}(q)} \sum_{T_2 \in \mathbf{T}_2(q)} \phi_{T_1} \phi_{T_2} \right\|_{L^2(\mathbb{R}^{n+1})}^2$$

as

$$(11) \quad \sum_{T_1 \in \mathbf{T}_1^{\infty B}(q)} \sum_{T_2 \in \mathbf{T}_2(q)} \sum_{T'_1 \in \mathbf{T}_1^{\infty B}(q)} \sum_{T'_2 \in \mathbf{T}_2(q)} \int_{\mathbb{R}^{n+1}} \phi_{T_1} \phi_{T_2} \overline{\phi_{T'_1} \phi_{T'_2}} dx dt.$$

Since the Fourier transform of the integrand is supported near

$$\left(\xi_1, \frac{1}{2}|\xi_1|^2\right) + \left(\xi_2, \frac{1}{2}|\xi_2|^2\right) - \left(\xi'_1, \frac{1}{2}|\xi'_1|^2\right) - \left(\xi'_2, \frac{1}{2}|\xi'_2|^2\right),$$

we see that the integral vanishes unless

$$\begin{aligned}\xi_1 + \xi_2 &= \xi'_1 + \xi'_2 \\ |\xi_1|^2 + |\xi_2|^2 &= |\xi'_1|^2 + |\xi'_2|^2.\end{aligned}$$

Lemma 13 (geometric constraint). *The last two equations imply*

$$(\xi'_1 - \xi_1) = (\xi_2 - \xi'_2) \perp (\xi'_1 - \xi_2) = (\xi_1 - \xi'_2).$$

For fixed ξ_1 and ξ'_2 , denote

$$\pi(\xi_1, \xi'_2) := \{\xi'_1 : (\xi'_1 - \xi_1) \perp (\xi_1 - \xi'_2)\}$$

and

$$\nu(q) := \max_{\xi_1, \xi'_2} \#\{T'_1 \in \mathbf{T}_1^{\infty B}(q) : \xi'_1 \in \pi(\xi_1, \xi'_2)\}.$$

Lemma 14 (fine-scale estimate).

$$\left\| \sum_{T_1 \in \mathbf{T}_1^{\infty B}(q)} \sum_{T_2 \in \mathbf{T}_2(q)} \phi_{T_1} \phi_{T_2} \right\|_{L^2(q)}^2 \lesssim R^{C\delta} R^{-(n-1)/2} \nu(q) (\#\mathbf{T}_1^{\infty B}(q)) (\#\mathbf{T}_2(q)).$$

Proof. This now follows from (11) and (9). \square

To conclude the proof of Theorem 1, it remains to prove the following (Kakeya-type) combinatorial estimate.

Lemma 15 (coarse-scale estimate).

$$\sum_{q \in \mathbf{q}(B)} \nu(q) (\#\mathbf{T}_1(q)) (\#\mathbf{T}_2(q)) \lesssim R^{C\delta} (\#\mathbf{T}_1) (\#\mathbf{T}_2).$$

Notice that by our assumption, $\#\mathbf{T}_2(q) \approx \mu_2$ and

$$\begin{aligned} \sum_{q \in \mathbf{q}(B)} \#\mathbf{T}_1(q) &\leq \sum_{q \in \mathbf{q}(B)} \sum_{T_1 \in \mathbf{T}_1} 1_{T_1 \cap R^\delta q \neq \emptyset} \\ &\approx \sum_{T_1 \in \mathbf{T}_1} \lambda_1 \\ &= (\#\mathbf{T}_1) \lambda_1. \end{aligned}$$

So, to prove Lemma 15, it suffices to show the following.

Lemma 16.

$$\nu(q_0) \lesssim R^{C\delta} \frac{\#\mathbf{T}_2}{\lambda_1 \mu_2}$$

for all $q_0 \in \mathbf{q}(B)$.

Proof. We will use a ‘‘bush’’ argument centered at q_0 . Fix ξ_1 and ξ'_2 . Denote

$$\mathbf{T}'_1 := \{T'_1 \in \mathbf{T}_1^{zB}(q_0) : \xi'_1 \in \pi(\xi_1, \xi'_2)\}.$$

We need to show that

$$\#\mathbf{T}'_1 \lesssim R^{C\delta} \frac{\#\mathbf{T}_2}{\lambda_1 \mu_2}.$$

Consider the incidence set

$$\begin{aligned} \mathbf{I} &= \{(q, T'_1, T_2) \in \mathbf{q} \times \mathbf{T}'_1 \times \mathbf{T}_2 : \\ &\quad R^\delta q \cap T'_1 \neq \emptyset, R^\delta q \cap T_2 \neq \emptyset, \text{dist}(q, q_0) \gtrsim R^{-C\delta} R\}. \end{aligned}$$

On the one hand, we have

$$\begin{aligned} \#\mathbf{I} &= \sum_{T'_1 \in \mathbf{T}'_1} \sum_{\substack{q \in \mathbf{q}: R^\delta q \cap T'_1 \neq \emptyset \\ \text{dist}(q, q_0) \gtrsim R^{-C\delta} R}} \sum_{\substack{T_2 \in \mathbf{T}_2 \\ R^\delta q \cap T_2 \neq \emptyset}} 1 \\ &\approx \sum_{T'_1 \in \mathbf{T}'_1} \sum_{\substack{q \in \mathbf{q}: R^\delta q \cap T'_1 \neq \emptyset \\ \text{dist}(q, q_0) \gtrsim R^{-C\delta} R}} \mu_2 \\ &\gtrsim \sum_{T'_1 \in \mathbf{T}'_1} R^{-C\delta} \lambda_1 \mu_2 \\ &= (\#\mathbf{T}'_1) R^{-C\delta} \lambda_1 \mu_2. \end{aligned}$$

On the other hand,

$$\begin{aligned} \#\mathbf{I} &= \sum_{T_2 \in \mathbf{T}_2} \sum_{T'_1 \in \mathbf{T}'_1} \sum_{\substack{q \in \mathbf{q}: R^\delta q \cap T'_1 \neq \emptyset, R^\delta q \cap T_2 \neq \emptyset \\ \text{dist}(q, q_0) \gtrsim R^{-C\delta} R}} 1 \\ &\lesssim \sum_{T_2 \in \mathbf{T}_2} \sum_{\substack{T'_1 \in \mathbf{T}'_1 \\ \exists q \in \mathbf{q}: R^\delta q \cap T'_1 \neq \emptyset, R^\delta q \cap T_2 \neq \emptyset, \text{dist}(q, q_0) \gtrsim R^{-C\delta} R}} R^{C\delta} \\ &\lesssim (\#\mathbf{T}_2) R^{C\delta}. \end{aligned}$$

Combining these two estimates for $\#\mathbf{I}$ gives the desired result. \square